

042204

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USPTOUTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	252202US0
First Inventor or Application Identifier	Keisuke FURUKAWA, et al.
Title	MODIFIED SARCOSINE OXIDASES, GENES AND RECOMBINANT DNAS THEREOF, AND METHODS FOR PREPARING THE SAME

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Commissioner for Patents  
Mail Stop Patent Application  
Alexandria, Virginia 22313

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Sheets
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets
4. ☒ Oath or Declaration Total Pages 
  - a. ☒ Newly executed (original)
  - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6. ☒ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification or Sequence Listing on :
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ Paper (6 Pages)
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
16. ☒ Other: Receipt of an Original Deposit of Microorganisms for the Purpose of Patent Procedure (FERM BP-8370)

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

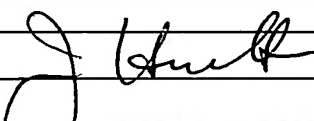
## 18. CORRESPONDENCE ADDRESS

Customer Number

22850

(703) 413-3000

FACSIMILE: (703) 413-2220

Name:		Registration No.:	
Signature:		Date:	4/22/04
Name:		Registration No.:	

James D. Hamilton  
Registration No. 28,42116834 USPTO  
10/829427

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U.S. PATENT AND TRADEMARK OFFICE

Docket No. 252202USO

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Keisuke FURUKAWA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: MODIFIED SARCOSINE OXIDASES, GENES AND RECOMBINANT DNAS THEREOF, AND METHODS FOR PREPARING THE SAME

**FEE TRANSMITTAL**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	10 - 20 =	0	x \$18 =	\$0.00
INDEPENDENT CLAIMS	4 - 3 =	1	x \$86 =	\$86.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$290 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$770.00
TOTAL OF ABOVE CALCULATIONS				\$856.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$856.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ Credit card payment form is attached to cover the filing fee in the amount of **\$856.00**
- ☒ The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

Date: \_\_\_\_\_

4/22/04

  
James D. Hamilton  
Registration No. 28,421

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

## INTERNATIONAL FORM

BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF  
MICROORGANISMS FOR THE PURPOSES OF  
PATENT PROCEDURE

**RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT**

issued pursuant to Rule 7.1 by the  
INTERNATIONAL DEPOSITARY AUTHORITY  
identified at the bottom of this page.

## TO DEPOSITOR:

Name: KIKKOMAN CORPORATION  
President: Yuzaburo MOGI  
Address: 250, Noda, Noda-shi, Chiba 278-8601 Japan

<b>I. IDENTIFICATION OF MICROORGANISM</b>	
Identification Reference Given by the Depositor: Plasmid pSOM3	Accession Number: FERM BP-8370
<b>II. A SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC POSITION</b>	
<p>The microorganism identified under I above was accompanied by a document stating the following item(s).</p> <p><input type="checkbox"/> A Scientific Property</p> <p><input type="checkbox"/> Taxonomic Position</p>	
<b>III. RECEIPT AND ACCEPTANCE</b>	
<p>This International Depositary Authority accepts the microorganism identified under I above, which was received on April 24, 2003.</p> <p style="text-align: right;">(date of the original deposit)</p>	
<b>IV. RECEIPT OF REQUEST FOR TRANSFER</b>	
<p>This International Depositary Authority received the microorganism under I above on (date of the original deposit), and received on , a request for transfer from the original deposit to the deposit under the Budapest treaty.</p>	
<b>V. INTERNATIONAL DEPOSITARY AUTHORITY</b>	
<p>Name: International Patent Organism Depositary National Institute of Advanced Industrial Science and Technology</p> <p>Representative: <u>Syuichi OKA</u> (sealed) Dr., DIRECTOR.</p> <p>Address: AIST Tsukuba Central 6, 1-1, Higashi 1-chome, Tsukuba-shi, Ibaraki-ken 305-8566 Japan</p> <p style="text-align: right;">Date: April 24, 2003</p>	